

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK**

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:
TASSO KOUMOULIS, CHRISTOS HATZIS, DOMINIC
MILITO, AND PETER DAFNIOTIS, :

Plaintiffs, :

-against- :

INDEPENDENT FINANCIAL MARKETING GROUP, :
INC., LPL FINANCIAL CORPORATION, AND :
ASTORIA FEDERAL SAVINGS AND LOAN :
ASSOCIATION, :

Defendants. :
:
----- X

10-cv-0887 (KAM) (ALC)

**RULE 7.1 DISCLOSURE
STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendant, LPL Financial Corporation, certifies as follows: LPL Financial is a wholly owned subsidiary of LPL Holdings, Inc., a Massachusetts holding corporation, which is a wholly owned subsidiary of LPL Investment Holdings, Inc., a Delaware holding corporation.

Dated: May 24, 2010

/s/ Eve I. Klein

Eve I. Klein, Esq.

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